MobiSweep: Exploring Spatial Design Ideation Using a Smartphone as a Hand-held Reference Plane

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ABSTRACT
In this paper, we explore quick 3D shape composition during early-phase spatial design ideation. Our approach is to repurpose a smartphone as a hand-held reference plane for creating, modifying, and manipulating 3D sweep surfaces. We implemented MobiSweep, a prototype application to explore a new design space of constrained spatial interactions that combine direct orientation control with indirect position control via well-established multi-touch gestures. MobiSweep leverages kinesthetically aware interactions for the creation of a sweep surface without explicit position tracking. The design concepts generated by users, in conjunction with their feedback, demonstrate the potential of such interactions in enabling spatial ideation.

Author Keywords
Shape composition; Sweep surfaces; Smartphones; Mobile interactions.

ACM Classification Keywords

INTRODUCTION
Early-phase ideation is fundamental to product and industrial design processes. Ideation involves divergent thinking for quick externalization of ideas to help the designer understand the design problem [18, 22]. This exploratory nature of ideation demands an uninhibited flow between what a designer is thinking and what the designer is doing to communicate the thought. This is perhaps why designers still predominantly prefer a direct and physical method - sketching - to express design ideas [7, 6]. However, an unambiguous visual representation of 3D forms through sketching, necessitates multiple coordinated 2D projected views. Thus, even sketching is perceived as a challenging medium by novice designers while communicating 3D forms [9]. While systems such as EverybodyLovesSketch [1] cater to users untrained in sketching, they are focused towards the creation of detailed 3D sketches rather than quick design conceptualization. In this paper, we explore spatial design ideation through the association of physical human movement to the design outcome. Our broader goal is to explore the role of embodied interactions in enabling spatial ideation during early phase design by employing mobile spatial user interfaces (M-SUI's).

We find that computer support for quick spatial design ideation has received very little attention in existing literature. Tools for 3D design are not suited for ideation since they do not embody the notion of controlled vagueness [24] that is central to the process of idea generation. Thus, computer-aided design (CAD) tools end up supporting the creation of sophisticated artifacts once the designer has learned the usage of the modeling tool. The same goes for casual modeling systems such as Paper3D [20] where the focus is on demonstrating detailed design capabilities. The amount of time spent in merely familiarizing oneself with the tool digresses the designer’s attention from the design activity. Thus, an important problem in computer-supported ideation is to determine a minimal set of modeling features that channel the designer’s thinking process towards the variety of ideas while retaining expressiveness of their creations.

Klemmer et al. [11] state: “One of the most powerful human capabilities relevant to designers is the intimate incorporation of an artifact into bodily practice to the point where people perceive that artifact as an extension of themselves; they act through it rather than on it”. An example of this bodily practice was shown in Spatial Sketch where Willis et al. [25] took an embodied approach towards the creation of physical artifacts via bodily movement. Drawing from these works, we argue that enabling the direct externalization of spatial design concepts can be effectively achieved by embedding the geometric representation of the artifact within the physicality of the creation process itself. We take a step towards this goal through MobiSweep, a prototype application for creation of 3D compositions comprised of swept surfaces through constrained spatial interactions with a smartphone.

As the name suggests, MobiSweep makes use of sweep surfaces as the underlying shape representation. In addition to
being fundamental in CAD, sweep surfaces inherently lend themselves to the intuitive physical action of sweeping a planar section along a trajectory in 3D space, especially through a mobile interface. In MobiSweep, we utilize this spatial relationship between the physical action of sweeping and the creation of the resulting swept surface.

**BACKGROUND**

Mobile devices offer a unique combination of computational power, wireless data communication, 3D sensing capabilities, ergonomic manipulability, and multi-touch input mechanisms. Although mobile devices have been previously explored as spatial controllers for several virtual applications [2, 21], inertial position tracking is impractical without adding additional hardware [17]. Here, the multi-touch capability of phones and tablets provides additional affordances for both direct and indirect manipulations of the virtual objects. To this end, several works [16, 12, 23, 10] have used combinations of touch and tilt for 3D object manipulation.

Xin et al. [26] demonstrated the use of a tablet as an augmented reality (AR) canvas for 3D sketching, akin to creating wire-sculptures. Similarly, Lue and Schulze [15] demonstrated the 3D Whiteboard system using smartphone AR technique with fiducial markers. Lakatos et al. [14] demonstrated the use of tablets as spatially-aware hand-held controllers in conjunction with hand-worn gloves for 3D shape modeling and animation. However, their work was more focused on demonstrating general interactions for modeling scenarios rather than exploring a concrete design workflow for shape composition. Mine et al. [17] described and discussed an immersive adaptation of the SketchUp application using a tracked smartphone in a CAVE setting. Our work differs from these works in two ways: (a) our intention is to support quick creative compositions with actual 3D surfaces in contrast to [26, 25, 15] and (b) our system does not use any additional hardware or vision based method for explicit position tracking (such as in [14, 17]).

**MOBISWEEP**

**System Setup**

The MobiSweep interface comprises of a hand-held controller (smartphone), and the virtual environment (i.e. a modeling application running on a personal computer). This setup allows users to manipulate the controller in mid-air in order to either create new objects or control existing 3D objects in the virtual environment. The virtual environment consists of a reference plane with a local frame of reference mapped to the coordinate system of the controller (Figure 1).

**Design Rationale**

The design goal behind MobiSweep is to strike a balance between modeling constraints, interaction techniques, and system workflow to enable direct spatial ideation. There are mainly two fundamental aspects that we considered while designing MobiSweep: (a) 3D manipulation and (b) sweep surface generation. For 3D manipulation, the critical aspect under consideration is to minimize fatigue for precise manipulations and minimize the interaction time for coarse manipulations. Instead of imposing full mid-air movements, we employ touch gestures (Figure 2) to allow controlled and precise 3D manipulation of virtual objects. In order to minimize learning time, we take advantage of the fact that most users are already familiar with multi-touch gestures for manipulating objects. Thus, we define a single context-aware interaction metaphor that: (a) uses known multi-touch gestures and (b) is shared between several modeling tasks.

Drawing from the key insight of Jacob et al. [8], we find that the separation of degrees-of-freedom (DoF) can be effective if the interactions for the task (sweeping a section) are synergistic with the input mode provided by the device (the smartphone). Based on this, we inspire our approach from the free plane casting method proposed by Katzakis et al. [10] by combining direct orientation control with indirect gesture based position control. We introduce an interaction metaphor - phone as a reference plane - that emulates the action of sweeping a sketched cross-section that is held in the user’s hand (Figure 1). In doing so, we do away with the procedural specification of planes as spatial references for drawing 2D curves to define profiles and trajectories, as is predominantly done in conventional CAD systems. The key advantage of our metaphor is that in addition to creation, it naturally lends...
to spatial actions such as on-the-fly bending, gesture-based cross-sectional scaling, and in-situ modification of the cross-sectional shape by sketching.

Phone as a Reference Plane
We begin with the most elemental interaction in modeling: spatial configuration, i.e., spanning the 3D space through translation and rotation. Given a hand-held phone and its virtual counterpart (i.e., a reference plane with a local coordinate frame), the objective is to allow the user to specify the location and orientation of the reference plane. We define the following interactions to achieve this objective:

Rotation: Here, the orientation of the phone is directly mapped to that of the reference plane. Thus, simply rotating the phone results in the rotation of the reference plane without the use of any gesture. In our implementation, we used the azimuth-pitch-roll representation to rotate the reference plane using the phone.

Translation in plane (Panning): In any orientation of the reference plane, users can perform in-plane translation by using the two finger sliding gesture. The translation applied to the reference plane is given by \( s_p(\mathbf{m}_t - \mathbf{m}_{t-1}) \) where \( \mathbf{m}_{t-1} \) and \( \mathbf{m}_t \) are the mid-points of the two fingers in the previous and current time frames. Here, \( s_p \) is a predefined constant denoting the sensitivity of panning. This is similar to in-plane panning as in the case of the free plane casting interaction [10].

Scaling in plane: Similar to panning, users can also perform in-plane scaling by using a two finger pinch gesture. We implemented scaling as a context dependent operation. Specifically, we allow scaling only when the reference plane either contains a sketch or is clutched (attached) to a 3D object (for instance during a manipulation task).

Translation along Normal (Offsetting): We provide two gestures for translating the reference plane along its normal, namely one-finger press and hold, and three-finger pinch. When users apply the one-finger press gesture, the reference plane automatically starts moving along its normal with a predefined speed. Users can also offset the reference plane by applying a three-finger pinch-spread gesture. In this case, the magnitude of offset is defined by \( s_o(1.0 - \frac{A_{t-1}}{A_t}) \), where \( s_o \) is a predefined constant denoting the offset sensitivity and \( A_{t-1} \) and \( A_t \) are the magnitudes of the areas of the triangle formed by the three fingers of the user. The one finger method provides a quick but imprecise method for offsetting. Further reverting the offset direction will require the user to turn the controller around, resulting in uncomfortable wrist movements. However, the three finger gesture requires more effort but allows for a more precise and bi-directional control of the reference plane.

2D Trajectory Input (Sketching): Given a 3D orientation, users can sketch a curve on the reference plane using the traditional one finger movement. Similar to scaling, we allow sketching selectively based on the modeling task the user is performing (for instance when the user wants to re-define the cross-section of a sweep surface).

Work-flow
The interactions defined for manipulating the reference plane form the basis of MobiSweep’s work-flow. For any given state in the work-flow, the interactions remain the same but the reference plane takes a different meaning according to the context of the state (Figure 3). There are three states in our system:

Configure (S1): In this state, the reference plane is in the original empty state. Users can move the empty reference plane to a desired location and orientation in 3D space. This operation may occur either during the creation of the first shape of the composition or during in-situ composition where a user is directly creating one shape on an existing shape. Alternately, users can also move the reference plane in order to select an existing object in the virtual environment.

Author (S2): This is the main state of MobiSweep where a user authors (creates and modifies) a sweep surface. Here, the reference plane serves as a container for the 2D section of a sweep surface. In this state, a user can (a) create a swept surface (via reference plane offsetting), (b) bend and twist a sweep surface using reference plane orientation, (c) modify the sweep surface by two-finger panning and scaling a section, (c) change the shape of a section by providing a 2D sketch input on the reference plane.

Manipulate (S3): This state involves rigid transformation of a swept surface for composing through assembly. Here, the reference plane serves as a container for the swept surface through which users can translate, rotate, or scale the surface. Additionally, users can also copy an existing shape and reuse a transformed version of the copy within the composition.

In the MobiSweep work-flow, the configure state (S1) is the base state from where users can transition to either the authoring state (S2) or the manipulation state (S3). We implemented state transitions using a combination of menu and gestures as described below.
User Interactions

The controller interface for MobiSweep is a single-screen Android application that allows for two distinct modes of interactions: (a) multi-touch input for reference plane manipulation, sketching and state transition and (b) menu navigation for state transitions and general software tasks. The user can perform all interactions through the controller interface without the need to access the application on the computer screen. This can be particularly helpful in large-screen and collaborative ideation scenarios.

State Transitions

We allowed state transitions through multi-touch gestures as well as menu navigation. The smartphone application menu allows users to start the creation of a sweep surface (Add Shape), provide sketch inputs for modifying sweep sections (Sketch Section), reusing shapes using the Copy Shape operation and perform general tasks (Delete Shape, Save All, and Save & Clear). The transition from the configure (S1) to the author state (S2) (Figure 4 (top row)) is achieved by selecting the “Add Shape” button on the menu. This signifies the intent to begin the creation of a sweep surface. Once the user has expressed the intention to add a shape, the visual representation of the reference plane changes to a circular section. The user can now sweep the section, bend or twist it, scale and adjust a section, or sketch the shape of the section. In all these cases, the reference plane remains attached to the most recent section of the sweep surface. Once the user has created a desired shape, the swept surface can be detached from the reference plane by using a double tap gesture, hence bringing the user back to the configure state (S1).

The relationship between the reference plane and an existing shape can be defined in two ways (Figure 4 (bottom row)): hover (S1) and selection (S3). Hover is an intermediate state that is activated when the user translates center of the reference plane to a location inside a swept surface. During a hover, users can perform operations such as deletion or color selection through the menu. Selection signifies the attachment of a 3D object with the reference plane, i.e. all rigid transformations applied on the reference plane are transferred to the selected object. Thus the selection of an existing object is synonymous with the manipulation state (S3). The user can select an object by first hovering on the object followed by a double tap gesture on the phone. Similarly, using the double tap on a selected object reverts the state to hover again. Thus, double tap is essentially a toggle between the attachment and detachment of a shape from the reference plane. The main reason for using a gesture was to enable users to perform selection without looking at the controller.

Authoring

The authoring state allows users to create and modify shapes. In this mode, the reference plane is attached to the top-most section of the sweep surface (Figure 3), i.e. all interactions performed by the user affect the top most section only and correspondingly changes the remaining sweep surface (Figure 5).

A user always begins with a circular section and creates a sweep surface by using the one finger press-hold (or three-finger pinch-spread) gestures. This corresponds to the offsetting operation. Note here that the offsetting occurs along the reference plane normal. Thus, users can create curved sweeps by orienting the controller while performing the offsetting operation. Users can also pan and scale the section using the two finger slide and pinch-spread gestures (as defined by the standard reference plane interactions). Similarly, the user can rotate the section by rotating the controller.

For section modification, users can directly specify the shape of a section with a single stroke closed curve input. In order to provide a sketch input, a user first selects the “Sketch Section” button on the menu. Once in sketching mode, the menu is updated to a single “Confirm Section” button, i.e. the user is required to finalize the sketching operation before resuming to any other task. In sketching mode, users can modify the cross-section of the sweep as many times as desired by simply overdrawing on the previous sketch. Every sketch drawn by the user immediately modifies the section but does not finalize the section shape unless the user specifies so by using the “Confirm Section” button on the menu.

IMPLEMENTATION
Figure 6. Algorithms for (a) sweep generation and (b) section sketching.

Hardware & Software
Our hardware comprises of a ThinkPad T530 laptop computer with Dual Core CPU 2.5GHz and 8GB RAM, running 64 bit Windows 7 Professional with a NVIDIA NVS 5400M graphics card, and the Samsung Galaxy Note 3 as the handheld controller. We implemented a one-way Bluetooth serial port communication to stream input data from the controller (phone) to the MobiSweep application (running on the PC). The input data packet consisted of device orientation, touch coordinates, menu events and multi-touch gestures. Our controller interface was implemented using the Android SDK and the application was developed in C++ with openGL Shading Language for rendering.

Algorithms
Sweep Surface Generation
The sweep surface is represented as a stack of cross-sections. Once the users starts the offsetting interaction, the sweep surface is incrementally generated in three steps: (a) adding a new section and (b) translating the top-section along the reference plane normal at until a stipulated time has elapsed, and (c) repeating addition and translation as long as the user is offsetting the reference plane. This process of incremental generation provides the visual continuity of sweeping to the users and the translation time defines the distance between consecutive sections.

In this work, we implemented a variant of the control-section based sweeping technique [5] wherein every sweep surface consists of two control sections at the two ends of the sweep surface. Each control section comprises of equal number of points and the information about its local coordinate frame (i.e. the frame of the reference plane). Hence, there is a one-to-one point correspondence between the control sections. For a given pair of control sections, we interpolate each meridian of the sweep surface by using the cubic hermite basis functions (Figure 6(a)). The interpolation requires four boundary conditions, namely, the position and tangents at the end points. These are conveniently provided by the vertices and the normal of the section’s local coordinate frame respectively. Our approach removes the need for explicit computation of the individual section transformations and avoids frame rotation minimization and section blending. This simplifies the operations (bending, twisting, panning, scaling and section modification) in the authoring state.

Section Modification
We recruited a total of 14 (11 male, 3 female) participants in the range of 19 – 40 years. Our user population consisted of 9 mechanical engineering students (with 1 user with expertise in CAD and design practices) and 5 students from other fields including engineering, liberal arts, and sciences. All participants were dominantly right handed and owned an Android-based or an Apple smartphone.

Procedure
The length of the study varied between 60 to 75 minutes. In the beginning of the study, each participant was given a verbal description of the setup, the purpose of the study and functionality of the MobiSweep application. Each participant was taken through a guided composition process wherein the participant used MobiSweep to create an abstract tree concept. The goal was to introduce the participants with features and constraints of the system in an organized manner. During this phase, the participants were encouraged to think-aloud, ask questions and were provided guidance when required.

After the practice session, each participant was given 1 among 3 pre-determined product contexts (tea-kettles, jars, lamps). The task was to generate as many concepts as possible in a fixed time duration of 15 minutes. Once the participant was satisfied with a composition, they would clear the virtual environment and start with a new composition. Although the duration of time was fixed, we allowed the users to complete their last composition that was started before the end of the specified duration. Finally, the participants were asked to complete an online questionnaire for evaluating: (a) effectiveness of interactions and gestures and (b) the usefulness of MobiSweep towards ideation and creation activities in early design. For assessing the usefulness of MobiSweep for design ideation, we used the creativity support index [4].

1See supplementary material for details on menu and calibration implementations.
I forgot the system I was using

Strongly Disagree (-10) Strongly Agree (+10) Neutral (0)

I enjoyed using the system

I felt artistic/creative

Figure 7. Design concepts generated by the users are shown (kettles and jars are shown in the top three rows and lamps in the bottom two rows). Each box represents concepts generated by one user.

Results

We found that almost all users were able to rapidly generate ideas in the product contexts provided to them (Figure 7). With an average practice time of 19 minutes (min: 11, max: 30), users generated between 3 to 4 concepts within an average ideation time of 15.7 minutes (min: 6, max: 21). Typically, each concept comprised of at least 2 and at most 4 parts (sweep surfaces). As expected, the number of concepts reduced for compositions with more geometric detail at the part level. In the context of these results, we will discuss our observations and users’ feedback regarding interactions, creative support, and perceived utility of MobiSweep.

Interactions

A significant majority of the ratings were positive across interaction types and workflow states (Figure 8). The two main problems users faced were (a) manipulation of a shape/part (S3) using the offsetting operation with one finger press and (b) controlling the reference plane orientation (S1). Interestingly, many users actually moved their hands along the trajectory of a sweep surface during shape authoring despite having the knowledge regarding the lack of explicit position tracking. One user commented: “I felt 3D objects [were] alive while I was sweeping and manipulating them.” This corroborates the proprioceptive nature of these activities, making the case for spatio-kinesthetic awareness for mapping spatial motion of smartphone-based controllers for 3D shape creation.

For the reference plane offset operation, we asked users to compare the one finger press, with the three finger scale on ease of use, physical comfort, intuitiveness, and controllability. All but three users indicated that the three finger press was better in terms of controllability. However, we found no significant preference towards ease-of-use, physical comfort, or intuitiveness. Users commented that the three finger pinch was more controlled, however it took some practice to understand how to apply the gesture correctly. They also perceived the one finger press as simple and natural, but only controllable in one direction (upwards). This is a useful insight that could be used to improve the offset operation by introducing auto-rotation features based on the ergonomics of wrist movements in unimanual manipulations.

Users found the sketching mode to be an intuitive and direct method for specifying cross-sections. A user commented: “Section sketching granted me quite a lot of flexibility in producing the desired shapes. I also found that section sketching allows me to select even the end sketch giving even more flexibility.” Additionally, the default circular section was also considered useful by users. One user pointed out that “Having the circle as a default was very helpful, as more often than not, I wanted a circular cross-section. When I didn’t need a circle, I felt it was simpler to just sketch the shape. Having other options (polygon selection, for instance), may have been annoying.”.

Creative Support

A large majority of users responded favorably in terms of the exploration capability, expressiveness, engagement, and enjoyment provided by MobiSweep (Figure 9). In particular, the user feedback strongly validated our primary goal – quick design ideation in 3D space. As a user pointed out: “Quickly sampling ideas in 3D shortens the discussion on any subject that requires a solution and closes the gap between individuals who can’t explain what they see in their heads and individuals who can’t visualize what is explained to them. Normally such discussions would end with - I’ll have to show you later.”. In context of quick ideation, an important user from a user was: “This tool can be very useful for people who are afraid to make mistakes and can also help people to formulate spatial perceptions.”.
Utility

Users confirmed MobiSweep’s utility in real design problems in individual and team settings. In particular, users with mechanical engineering and design experience found such a tool particularly useful in the context of their design projects. One user commented: “I can see myself using this tool for a quick mock-up of ideas, something to do right after the sketching stage. Assuming that a future version of the system will allow me to navigate my creation in 3D (instead of offering a single-port view as it does currently), I would be able to use this to mock up an idea in 3D to discuss issues like space, access, scale etc. with my team.” Most participants with prior design experience perceived our system as a useful mode of coarse scale etc. with my team.

Mockup

I could navigate my creation in 3D (instead of offering a single-stage. Assuming that a future version of the system will allow me to navigate my creation in 3D (instead of offering a single-port view as it does currently), I would be able to use this to mock up an idea in 3D to discuss issues like space, access, scale etc. with my team. Most participants with prior design experience perceived our system as a useful mode of coarse scale etc. with my team.

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Modeling

Sketch-based 3D modeling forms an exclusive area for early phase design due to its accessibility and natural interface [19]. However, the two-dimensionality of the interactions involved in sketching interfaces necessitates additional interactions to achieve a complete 3D modeling work-flow. We believe that the combination of reference plane interactions with sketch-based modeling is a simple but powerful idea that could lead to several new design work-flows.

FUTURE DIRECTIONS AND CONCLUSIONS

We explored an embodied approach for spatial design ideation through a sweep-based shape composition work-flow using a smartphone. At its core, MobiSweep allowed for two important geometric modeling interactions: rigid transformations and curve creation (both 2D and 3D). Our goal in the immediate future is to perform a quantitative evaluation of the reference plane metaphor for these three operations. In particular we want to understand how user perception and performance changes for manipulation tasks with and without the offsetting operation. We will also study how experience, performance, and creative outcomes will change with respect different user groups such as artists, engineering designers, and young participants. Finally, it will be interesting to see how the interactions behind MobiSweep could be extended to animation and analysis scenarios (e.g. kinematics simulations, stress analysis) in educational and collaborative settings. MobiSweep revealed an untapped design space that emerged from the combination of M-SUI and CAD towards novel work-flows for creative shape conceptualization in early phase design.

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